Immunogenicity of a protective whole cell mycobacterial vaccine in HIV-infected adults: A phase III study in Tanzania

Timothy Lahey a,* , Robert D. Arbeit b,1 , Muhammad Bakari c , C. Robert Horsburgh d , Mecky Matee c , Richard Waddell a , Lillian Mtei c , Jenni M. Vuola e,2 , Kisali Pallangyo c , C. Fordham von Reyn a

a Dartmouth Medical School, Lebanon, NH, USA
b Tufts University School of Medicine, Boston, MA, USA
c Muhimbili University of Health and Allied Sciences, Dar es Salaam, Tanzania
d Boston University School of Public Health, Boston, MA, USA
e National Public Health Institute, Helsinki, Finland

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A B S T R A C T

Preventive immunization with whole inactivated Mycobacterium vaccae (MV) confers protection against HIV-associated tuberculosis (TB) in BCG-immunized adults with CD4 counts ≥200 cells/μl. We evaluated the immunogenicity of MV in the 2013 subjects of the phase III DarDar Trial using an interferon gamma (IFN-γ) enzyme linked immunosorbent assay (ELISA), tritiated thymidine lymphocyte proliferation assay (LPA) and an ELISA for antibodies to the TB glycolipid lipoarabinomannan (LAM). MV immunization boosts IFN-γ and LPA responses to MV sonicate, and antibody responses to LAM. Post-immunization immune responses to MV correlated with baseline clinical factors, but the responses did not predict protection from HIV-associated TB.

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1. Introduction

The current tuberculosis (TB) vaccine bacille Calmette-Guérin (BCG) reduces the incidence of severe TB disease and death during childhood [1–7]. Yet, the protection from TB disease elicited by BCG immunization is incomplete, may wane during adulthood [8–10], and BCG boosters are ineffective [11]. Therefore, multiple novel TB booster vaccines are now being tested for safety and immunogenicity [12–14]. Since infection with the human immunodeficiency virus (HIV) markedly increases the frequency and severity of TB disease [15–18], the development of a TB booster vaccine that is both safe and immunogenic for people with HIV is a leading global health priority [13,14].

Whole inactivated Mycobacterium vaccae (MV) was shown to be safe and immunogenic in phase I and II studies in HIV-infected adults: five intradermal doses of MV elicited strong interferon gamma (IFN-γ) and lymphocyte proliferation assay (LPA) responses in HIV-infected subjects with prior BCG vaccination in both Finland and Zambia [19,20]. The DarDar Trial was a phase III randomized double-blinded placebo-controlled clinical trial conducted in Dar es Salaam, Tanzania to determine the efficacy of MV for preventing TB in HIV-infected and BCG-vaccinated adults with CD4 counts ≥200 cells/μl. MV vaccination was safe, well-tolerated, and provided significant protection against laboratory-defined definite tuberculosis [21]. To characterize the immune response to MV and to identify clinical factors that impact the immunogenicity of MV, we assessed both cellular and humoral immune responses to mycobacterial antigens before and after vaccination using three methods: a 5-day interferon gamma (IFN-γ) release assay, a standard tritiated-thymidine lymphocyte proliferation assay (LPA), and an enzyme-linked immunosorb-
bent assay (ELISA) of antibody responses to lipoarabinomannan (LAM).

2. Methods

2.1. Study subjects and design

The DarDar Trial was a phase III randomized placebo-controlled double-blind trial of a prime-boost vaccine strategy for the prevention of HIV-associated tuberculosis among adults in Dar es Salaam, Tanzania [21]. Subject enrollment occurred from 2001 to 2005, and study follow up continued through January 2008. Adults who gave informed consent were eligible for enrollment if they had two positive enzyme linked immunosorbent assay (ELISA) tests for HIV, a CD4 count \(\geq 200/\text{mm}^3\), and a BCG scar. At baseline, subjects underwent history and physical examination, single view chest X-ray and provided sputum samples for acid-fast bacillus (AFB) smear and sputum mycobacterial culture. Subjects found to have active TB were excluded from enrollment. Subjects were randomized to receive either five intradermal doses of whole heat inactivated MV were excluded from enrollment. Subjects were randomized to receive either five intradermal doses of whole heat inactivated Mycobacterium vaccae (MV; representing 1 \(\times 10^9\) colony forming units) or matched saline placebo at 0, 2, 4, 6 and 12 months after enrollment. From blood collection through analysis of the assay results, all personnel were blinded to treatment allocation.

2.2. Human research conduct

Human experimentation guidelines of the United States Department of Health and Human Services and the Tanzania Food and Drug Authority, as well as that of the Committee for the Protection of Human Subjects at Dartmouth College and the Research Ethics Committee of the Muhimbili University of Health and Allied Sciences, were followed in the conduct of this research. This study was registered through the National Institutes of Health (NCT00052195).

2.3. Clinical surveillance for TB disease

We evaluated subjects for active TB disease via history and physical examination at baseline, months 2, 4, and 6, and every 3 months thereafter. Furthermore, subjects presenting at any time with 2 or more weeks of fever, cough or weight loss also underwent evaluation for active TB. Studies included a single-view chest X-ray, three collections of expectorated sputum for AFB smear and mycobacterial culture, plus phlebotomy for mycobacterial blood culture. We performed additional investigations as clinically indicated (e.g., cultures of other body fluids, tissue biopsies).

2.4. Definitions of TB

A three-person blinded adjudication panel reviewed all episodes of illness evaluated for active TB and designated subjects to have definite or probable TB according to rigorous study definitions [21].

2.5. Assays of mycobacterial immune responses

Subjects underwent phlebotomy prior to vaccination (referred to as “baseline”) and at 14 months after enrollment, i.e., 2 months after the fifth (final) dose of vaccine (referred to as “post-dose 5”). PBMC were isolated by ficoll density gradient centrifugation, and IFN-\(\gamma\) and LPA assays were conducted on freshly isolated cells. Serum was centrifuged, frozen at \(-70^\circ\text{C}\) and sent to the United States for assessment of antibody responses to LAM.

2.6. Antigens

Four mycobacterial antigens were used in IFN-\(\gamma\) and LPA assays: MV sonicate (2 mcg/ml), M. tuberculosis Ag85 (1 mcg/ml), M. tuberculosis ESAT-6 (2 mcg/ml), or M. tuberculosis whole cell lysate (WCL; 1 mcg/ml). Phytotrimaglutinin (PHA, 2.5 mcg/ml; Sigma, St. Louis, MO) was used as a positive control; media alone as the negative control. MV sonicate was provided courtesy of John Stanford, University of London; Ag85, ESAT-6, and WCL were obtained via National Institute of Allergy and Infectious Diseases Contract No. HHSN266200400091C “Tuberculosis Vaccine Testing and Research Materials” awarded to Colorado State University.

2.7. IFN-\(\gamma\) responses

After 5 days of incubation, PBMC cultures were centrifuged, supernatants frozen at \(-70^\circ\text{C}\), sent to the United States and assayed for IFN-\(\gamma\) using a standard ELISA (R&D Systems, Minneapolis, MN). Assays were considered valid if the IFN-\(\gamma\) response to PHA was greater than 300 pg/ml and not smaller than the response to the negative control condition.

2.8. LPA responses

After 4 days of incubation, \(^{3}H\) thymidine was added to PBMC cultures, and then after 24 h the cells were harvested onto filter paper and sent to the National Public Health Institute in Finland for data acquisition on a scintillation counter. Results were expressed as a proliferation index (PI; defined as counts per minute [CPM] of antigen stimulated cells divided by CPM of unstimulated cells). Assays were considered valid if the PHA PI was \(\geq 3\).

2.9. Antibody responses to LAM

Serum diluted 1:100 was assayed via ELISA for IgG antibody to LAM, a glycopeptide widely expressed on mycobacteria [22]. The positive control for this assay was serum from an MTB experienced individual which had been diluted 1/10 in 5% goat serum in phosphate buffered saline, aliquoted and frozen at \(-70^\circ\text{C}\). The color reaction in each assay was allowed to develop until the optical density (OD) of the positive control reached 2.0. All LAM assays were considered valid.

2.10. TST

Tuberculin (0.1 ml, RT-23, State Serum Institute, Copenhagen) was injected intradermally on the forearm in all subjects at baseline, and trained personnel measured the size of skin induration at the site after 48–72 h. Subjects with reactions \(\geq 5\) mm (“positive”) were offered isoniazid 300 mg daily for 6 months.

2.11. HIV viral load assessment

As part of a pre-planned safety sub-study, baseline HIV viral load was assessed in 713 subjects. Plasma was collected, frozen, shipped to the United States and HIV viral load subsequently measured using the Bayer Versant\textsuperscript{TM} HIV-1 RNA 3.0 (bDNA) assay.

2.12. Statistical analysis

We compared post-dose 5 immune responses between subjects who received MV or placebo using a two-tailed Mann–Whitney U test with a threshold for statistical significance of \(P < 0.05\). Baseline and post-dose 5 immune responses among MV recipients were compared using the sign-rank test. We assessed the correlation...
between immune responses using Spearman correlation coefficients. We used a multivariate Cox proportional hazards regression model adjusting for age, baseline CD4 count, previous TB and TST status to relate vaccine immune responses to TB outcomes. We confirmed the proportional hazards assumption was not violated using log-log plots and Schoenfeld residuals. We analyzed the data using STATA 9 (College Station, TX).

3. Results

3.1. Study subjects

2013 subjects were enrolled and randomized; 1975 were given at least one dose of vaccine. Thirteen subjects were excluded from the immunogenicity analysis because they were found to have active tuberculosis at baseline [23]. The characteristics of the remaining 1962 study subjects are shown in Table 1. 1500 subjects received five doses of MV or placebo, 295 received four doses, and 167 received three or fewer doses. Valid IFN-γ results were available for 1454 subjects at baseline, and 1025 subjects after vaccine dose 5. Valid LPA results were available for 1095 subjects at baseline, and 838 subjects after vaccine dose 5. Valid LAM results were available for 1958 subjects at baseline, and 1640 subjects after vaccine dose 5. Subject loss to follow up and the prevalence of valid results on immune assays were evenly balanced between MV and placebo recipients.

3.2. MV immunogenicity

IFN-γ and LPA responses to MV sonicate, as well as antibody responses to LAM, are shown in Fig. 1. At baseline, immune responses were similar between subjects randomized to MV and to placebo. After dose 5, subjects who received MV had greater IFN-γ responses to MV sonicate, greater LPA responses to MV sonicate, and greater antibody responses to LAM, than subjects who received placebo. Among subjects who received MV, post-dose 5 LPA responses to MV sonicate and antibody responses to LAM were significantly increased compared to baseline, and there was a trend toward greater IFN-γ responses to MV sonicate. Among subjects who received MV, post-dose 5 LPA responses were equivalent between those who received four or five doses: IFN-γ to MV sonicate (550 vs. 440 pg/ml, P = 0.4240), LPA to MV sonicate (PI, 2.8 vs. 3.0, P = 0.9272) and LAM (OD, 0.61 vs. 0.65, P = 0.9877). There were too few subjects who received three or fewer doses and who had valid assay data available for meaningful analyses. Compared to subjects who received placebo, subjects who received MV did not demonstrate greater post dose 5 IFN-γ responses to Ag85 (741 vs. 799 pg/ml, P = 0.6525), ESAT-6 (1196 vs. 1219, P = 0.7031) or WCL (2625 vs. 2910, P = 0.5023). Similarly, post dose 5 LPA responses to these antigens were equivalent between subjects who received MV and those who received placebo: Ag85 (PI 2.9 vs. 3.0, P = 0.6374), ESAT-6 (PI, 2.5 vs. 2.4, P = 0.9792) and WCL (PI, 6.9 vs. 7.3, P = 0.9491).

3.3. Impact of baseline CD4 count on MV immunogenicity

Fig. 2A shows post-dose 5 immune responses stratified by baseline CD4 count. Among subjects with baseline CD4 counts ≥350/mm², all three assays demonstrated significantly greater responses for MV recipients compared to placebo recipients. In contrast, among subjects with baseline CD4 counts <350 cells/mm², subjects who received MV only demonstrated significantly greater LPA responses to MV. Comparing MV recipients across CD4 strata, those with higher baseline CD4 counts had markedly greater IFN-γ responses; the two strata had similar LPA responses.

3.4. Impact of baseline HIV viral load on MV immunogenicity

Among the 713 subjects in a pre-planned HIV viral load sub-study, subjects who received MV and subjects who received placebo had equivalent baseline HIV viral loads (32,948 vs. 35,055 copies/ml, P = 0.5858). Among subjects who received MV, baseline HIV viral was inversely correlated with the magnitude of IFN-γ responses to MV sonicate after dose 5 (N = 180, Spearman’s rho, ρ = −0.2243, P = 0.0025), but did not correlate with LPA responses after dose 5 to MV sonicate (N = 162, ρ = −0.0963, P = 0.2230) or with antibody responses to LAM (N = 283, ρ = 0.0059, P = 0.9218).

3.5. Impact of antiretroviral therapy on MV immunogenicity

At baseline, 2.9% of subjects were on antiretroviral therapy. Baseline immune responses were not different in subjects on antiretroviral therapy compared to subjects who were not on antiretroviral therapy: IFN-γ responses to MV sonicate 643 vs. 371 pg/ml, P = 0.0769; LPA responses to MV sonicate, PI 2.8 vs. 1.8, P = 0.1461; and antibody responses to LAM, OD 0.48 vs. 0.51, P = 0.7247. Post dose 5, 7.9% of MV recipients were on antiretroviral therapy. Among MV recipients, post dose 5 IFN-γ and LPA responses to MV sonicate were not different between subjects who were or were not on antiretroviral therapy at the year 1 study visit (IFN-γ: 243 vs. 557 pg/ml, P = 0.5094; LPA: PI 2.6 vs. 2.8, P = 0.7300). However, post dose 5 MV recipients who were on antiretroviral therapy had smaller magnitude antibody responses to LAM compared to subjects who were not on antiretroviral therapy (OD, 0.51 vs. 0.62, P = 0.0450).

3.6. Impact of TST status on MV immunogenicity

Fig. 2B shows the post-dose 5 immune responses in each treatment group stratified by baseline TST status. Among subjects with a positive baseline TST, MV recipients demonstrated significantly greater responses than placebo recipients in all three assays. Among MV recipients, responses to MV sonicate in all three assays were greater in those whose baseline TST was positive rather than negative. Even among subjects with negative baseline TST, post dose 5 responses in all three assays were greater for MV recipients compared with placebo recipients, although the difference did not reach statistical significance for IFN-γ responses.

3.7. Impact of isoniazid administration on MV immunogenicity

Among MV recipients with a positive TST, immune responses in subjects who received isoniazid were equivalent to immune responses in subjects who did not receive isoniazid: IFN-γ responses to MV (619 vs. 478 pg/ml, P = 0.3517), LPA responses to MV (PI, 2.8 vs. 3.3, P = 0.1508) and antibody responses to LAM (OD 0.69 vs. 0.84, P = 0.8117). Similarly, there was no correlation between the magnitude of immune responses after MV immunization among MV recipients with a positive TST and the number of days of isoniazid received: IFN-γ responses to MV (N = 156, ρ = 0.1241, P = 0.1228), LPA responses to MV (N = 109, ρ = −0.0119, P = 0.9025), and antibody responses to LAM (N = 236, ρ = −0.0355, P = 0.5870).

3.8. Impact of prior TB treatment history on MV immunogenicity

Fig. 2C depicts immune responses after dose 5 in MV and placebo recipients according to whether subjects had a history of TB prior to trial enrollment. IFN-γ responses to MV sonicate and antibody responses to LAM were increased only in subjects who had no prior history of TB. LPA responses to MV sonicate were not impacted by
prior TB history. Of note, among MV recipients, those with a history of prior TB had significantly weaker IFN-γ responses to MV sonicate than those with no prior TB history.

3.9. Correlation of immune responses after dose 5

After dose 5, subjects who received MV but not subjects who received placebo demonstrated statistically significant correlation between IFN-γ responses to MV sonicate and LPA responses to MV sonicate as well as with antibody responses to LAM (Table 2). This correlation was not seen in MV recipients who were treated for TB prior to trial enrollment (no previous TB, $N=254$, $\rho=0.2538$, $P<0.0001$; previous TB, $N=30$, $\rho=0.0707$, $P=0.7106$). MV vaccination did not alter the correlation between LPA responses to MV sonicate and antibody responses to LAM.

3.10. Post-vaccination immune correlates of protection from TB

Among placebo subjects, post dose 5 IFN-γ responses to MV sonicate were significantly greater for those who did not develop TB compared to those who did develop TB (Table 3). Among the smaller number of MV recipients who developed TB, IFN-γ responses to MV sonicate were also lower compared to subjects who did not develop TB, but this difference did not reach statistical significance. LPA and LAM antibody responses showed no correlation to risk of TB in either treatment arm.

Among MV recipients, a multivariate Cox regression model adjusting for age, baseline CD4 count, TST status and previous TB history showed no relation to protection from TB of IFN-γ responses to MV sonicate but did not correlate with LPA responses to Ag85, ESAT-6 and WCL did not correlate with protection from the subsequent development of TB (data not shown).

4. Discussion

The development of a vaccine for the prevention of HIV-associated TB is a leading global health priority [13,14]. A critical requirement for such a TB vaccine is that it be effective and immunogenic in persons with HIV infection. In a large, randomized, placebo-controlled, double-blind phase III clinical trial in Tanzania, we administered five intradermal doses of whole inactivated Mycobacterium vaccae (MV) – a novel vaccine for preventing TB – to HIV-infected and BCG-vaccinated adults with CD4 counts ≥200 cells/mm$^3$. Compared to placebo, MV recipients had increased mycobacterial immune responses in three in vitro assays—IFN-γ responses to MV sonicate, LPA responses to MV sonicate, and antibody responses to LAM. These results confirm our previous phase I and phase II studies in Finland and Zambia [19,20], and demonstrate consistent vaccine immunogenicity in a large cohort of BCG-vaccinated, HIV-infected subjects from a developing country with high rates of endemic TB. While we did not find a specific surrogate for vaccine efficacy for preventing TB, we found a trend toward higher IFN-γ responses in MV recipients who did not develop TB.

We identified multiple clinical factors related both to HIV status and TB experience that impacted immune responses to MV immunization. Subjects with baseline CD4 counts ≥350 cells/μL who received MV demonstrated greater IFN-γ responses to MV sonicate and antibody responses to LAM compared to those with baseline CD4 counts 200–349 cells/μL. This is concordant with data showing that HIV disease progression blunts the immunogenicity of BCG, influenza and hepatitis B immunization [24–26]. In subset analyses, baseline HIV viral load correlated negatively with the magnitude of IFN-γ responses to MV sonicate but did not correlate with LPA responses to MV sonicate or antibody responses to LAM in subjects who received MV. The difference may relate to the preferential deletion of MV-specific IFN-γ expressing memory T cell clones among HIV-infected subjects with frequent exposure to TB and other mycobacteria [27–29].

Table 1
Baseline characteristics of 1962 study subjects.

<table>
<thead>
<tr>
<th></th>
<th>MV (N=983)</th>
<th>Placebo (N=979)</th>
<th>$P$ value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, mean years</td>
<td>33.3</td>
<td>33.0</td>
<td>0.4821</td>
</tr>
<tr>
<td>Male, n (%)</td>
<td>230 (23.4)</td>
<td>237 (24.2)</td>
<td>0.6734</td>
</tr>
<tr>
<td>CD4 T cell count, mean, cells/mm$^3$</td>
<td>475.2</td>
<td>470.4</td>
<td>0.1838</td>
</tr>
<tr>
<td>Antiretroviral therapy, n (%)</td>
<td>24 (2.4)</td>
<td>33 (3.4)</td>
<td>0.2205</td>
</tr>
<tr>
<td>Prior treatment for tuberculosis, n (%)</td>
<td>87 (8.9)</td>
<td>80 (8.2)</td>
<td>0.5901</td>
</tr>
<tr>
<td>Tuberculin skin test ≥5 mm, n (%)</td>
<td>309 (32.2)</td>
<td>312 (32.5)</td>
<td>0.8961</td>
</tr>
</tbody>
</table>

$P$ value by Mann–Whitney U test; MV, Mycobacterium vaccae.
Fig. 2. Post-dose 5 immune responses analyzed according to (A) baseline CD4 count, (B) baseline tuberculin skin test status, and (C) baseline TB treatment history. IFN-γ, interferon gamma; LAM, lipoarabinomannan; LPA, lymphocyte proliferation assay; MV, Mycobacterium vaccae TB booster vaccine; MVS, Mycobacterium vaccae sonicate; OD, optical density; proliferation index is the ratio of counts per minute of the antigen over counts per minute of medium; TB, tuberculosis; and TST, tuberculin skin test. Bars indicate the mean and standard error.

Subjects' baseline TST status and prior TB treatment history also affected MV immunogenicity, but with notable differences. Among TST negative subjects, the difference in IFN-γ responses to MV sonicate between subjects who received MV and subjects who received placebo were smaller and did not reach statistical significance. Moreover, MV recipients who were TST positive at baseline had stronger post-dose 5 responses than those who were TST negative. The greater responses in MV recipients who were TST positive sug-
Correlation of immune responses to MV vaccination.

Table 2

<table>
<thead>
<tr>
<th>MV</th>
<th>Placebo</th>
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<tbody>
<tr>
<td>Proliferation index</td>
<td>IFN-γ response, pg/ml</td>
</tr>
<tr>
<td>IFN-γ response, pg/ml</td>
<td>P &lt; 0.0001</td>
</tr>
<tr>
<td>LAM antibody response, OD</td>
<td>P = 0.1861</td>
</tr>
</tbody>
</table>

IFN-γ, interferon gamma response to MV sonicate; LAM, lipoarabinomannan; MV, Mycobacterium vaccae; OD, optical density; proliferation index is defined as the ratio of counts per minute of the well stimulated with MV sonicate over the counts per minute in the medium control well. Spearman’s rho statistic (ρ) and P value for the correlation are shown for all pairs of immune responses in subjects who received MV or placebo.

Table 3

<table>
<thead>
<tr>
<th>MV</th>
<th>Placebo</th>
</tr>
</thead>
<tbody>
<tr>
<td>Post-dose 5 immune responses in subjects who received MV or placebo according to whether they developed definite TB during prospective follow up.</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Mean</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>IFN-γ response, pg/ml</td>
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</tr>
<tr>
<td>Proliferative index</td>
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<tr>
<td>Antibody response to LAM, OD</td>
<td>28</td>
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</table>

P values by Mann–Whitney U test. IFN-γ response, interferon gamma response to MV sonicate; LAM, lipoarabinomannan; MV, Mycobacterium vaccae; OD, optical density; proliferation index is defined as the ratio of counts per minute of the well stimulated with MV sonicate over the counts per minute in the medium control well; and TB, tuberculosis.
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Conflicts of interest statement

None to declare.

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